

ANHANG: Top 5 Brokerübersicht der Amundi Intermediation für die AMD ausgeführten Orders

Professional Client Types

Class of Instrument	(a) Equities: Tick Size Liquidity Band 1 and 2				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CITIGROUP (6TJCK1B7E7UTXP528Y04)	17,8%	8,8%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8PU41)	15,5%	13,5%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GIWYY08)	10,5%	27,2%	N/A	N/A	N/A
BNP PARIBAS (ROMUWSFPU8MPRO8K5P83)	8,8%	11,7%	N/A	N/A	N/A
KEPLER - CHEUVREUX (9695005EOZG9X8IRJD84)	6,6%	2,4%	N/A	N/A	N/A
Top 5 Total	59,2%	63,6%	N/A	N/A	N/A

Class of Instrument	(a) Equities: Tick Size Liquidity Band 3 and 4				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BNP PARIBAS (ROMUWSFPU8MPRO8K5P83)	22,8%	13,0%	N/A	N/A	N/A
BOA - MERRILL LYNCH (GGDZP1UYGU9STUHRDP48)	14,1%	10,3%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8PU41)	12,6%	11,9%	N/A	N/A	N/A
CITIGROUP (6TJCK1B7E7UTXP528Y04)	10,7%	7,8%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GIWYY08)	8,3%	26,2%	N/A	N/A	N/A
Top 5 Total	68,5%	69,2%	N/A	N/A	N/A

Class of Instrument	(a) Equities: Tick Size Liquidity Band 5 and 6				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BOA - MERRILL LYNCH (GGDZP1UYGU9STUHRDP48)	23,9%	12,8%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GIWYY08)	13,1%	23,5%	N/A	N/A	N/A
BNP PARIBAS (ROMUWSFPU8MPRO8K5P83)	11,7%	11,2%	N/A	N/A	N/A
CITIGROUP (6TJCK1B7E7UTXP528Y04)	10,6%	9,8%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8PU41)	8,9%	14,5%	N/A	N/A	N/A
Top 5 Total	68,2%	71,8%	N/A	N/A	N/A

Class of Instrument	(b) Debt Instruments (Bonds)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
TradeWeb EU B.V. (549300MII67ES5YBJH61)	37,2%	42,9%	N/A	N/A	N/A
Bloomberg Trading Facility BV (BTFE)	30,5%	16,5%	N/A	N/A	N/A
MarketAxess NL B.V.(MANL) (5299000UUYW66L5LT560)	7,3%	15,2%	N/A	N/A	N/A
TradeWeb Europe Ltd (2138001WXZQOPMPA3D50)	6,0%	7,0%	N/A	N/A	N/A
JP Morgan Chase (7H6GLXDRUGQFU57RNE97)	2,2%	1,2%	N/A	N/A	N/A
Top 5 Total	83,2%	82,8%	N/A	N/A	N/A

Class of Instrument	(b) Debt Instruments (Money markets instruments)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
TradeWeb EU B.V. (549300MII67E55YBJ H61)	88,4%	85,0%	N/A	N/A	N/A
TradeWeb Europe Ltd (2138001WXZQOPMP A3D50)	11,6%	14,5%	N/A	N/A	N/A
CITIGROUP (6TJCK1B7E7UTXP528 Y04)	0,0%	0,5%	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
Top 5 Total	100,0%	100,0%	N/A	N/A	N/A

Class of Instrument	(c) Interest rates derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BNP PARIBAS (ROMUWSFPU8MPRO 8K5P83)	15,4%	24,6%	N/A	N/A	N/A
CREDIT AGRICOLE GROUP (2138007P96W5GXQ CJL28)	11,7%	21,7%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8 PU41)	11,7%	21,1%	N/A	N/A	N/A
UBS (5299007QVIQ7IO64N X37)	12,0%	6,4%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE3 46)	9,6%	3,6%	N/A	N/A	N/A
Top 5 Total	60,4%	77,4%	N/A	N/A	N/A

Class of Instrument	(d) Credit derivatives
	N/A

Class of Instrument	(e) Currency derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CITIGROUP (6TJCK1B7E7UTXP528Y04)	38,3%	14,3%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GIWYY08)	26,0%	28,6%	N/A	N/A	N/A
BOA - MERRILL LYNCH (GGDZP1UYGU9STUHRDP48)	15,9%	21,4%	N/A	N/A	N/A
UBS (5299007QVIQ7IO64NX37)	13,4%	28,6%	N/A	N/A	N/A
ROYAL BANK OF CANADA (ES7IP3U3RHIGC71XB U11)	6,3%	7,1%	N/A	N/A	N/A
Top 5 Total	100,0%	100,0%	N/A	N/A	N/A

Class of Instrument	(f) Structured finance instruments
	N/A

Class of Instrument	(g) Equity Derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CREDIT AGRICOLE GROUP (2138007P96W5GXQCJL28)	19,4%	37,5%	N/A	N/A	N/A
BOA - MERRILL LYNCH (GGDZP1UYGU9STUHRDP48)	19,2%	7,1%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE346)	17,7%	4,1%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8PU41)	14,4%	9,8%	N/A	N/A	N/A
BNP PARIBAS (ROMUWSFPU8MPRO8K5P83)	11,9%	11,3%	N/A	N/A	N/A
Top 5 Total	82,6%	69,8%	N/A	N/A	N/A

Class of Instrument	(h) Securitised derivatives
	N/A

Class of Instrument	(i) Commodities derivatives and emission allowances Derivatives
	N/A

Class of Instrument	(j) Contracts for difference
	N/A

Class of Instrument	(k) Exchange Traded Products (ETF)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Tradeweb EU B.V. (549300MII67E55YBJ H61)	62,9%	22,8%	N/A	N/A	N/A
TradeWeb Europe Ltd (2138001WXZQOPMP A3D50)	25,3%	58,1%	N/A	N/A	N/A
UBS (5299007QVIQ7IO64N X37)	8,2%	7,3%	N/A	N/A	N/A
KEPLER - CHEUVREUX (9695005EOZG9X8IRJ D84)	2,0%	4,3%	N/A	N/A	N/A
Societe Generale (O2RNE8IBXP4R0TD8 PU41)	1,6%	7,6%	N/A	N/A	N/A
Top 5 Total	100,0%	100,0%	N/A	N/A	N/A

Class of Instrument	(l) Emission allowances
	N/A

Class of Instrument	(m) Other instruments (Foreign Exchange)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Financial & Risk Transaction Services Ireland Limited - FXall RFQ	42,9%	36,2%	N/A	N/A	N/A
ROYAL BANK OF CANADA (ES7IP3U3RHIGC71XB U11)	9,7%	4,6%	N/A	N/A	N/A
BOA - MERRILL LYNCH (GGDZP1UYGU9STUH RDP48)	6,9%	8,1%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4ROTD8 PU41)	6,1%	4,3%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GI WYY08)	5,8%	8,8%	N/A	N/A	N/A
Top 5 Total	71,4%	62,1%	N/A	N/A	N/A