

ANHANG 1: Top 5 Brokerübersicht der Amundi Intermediation für die AMD ausgeführten Orders

**Professional Client Types**

Class of Instrument	<b>(a) Equities: Tick Size Liquidity Band 1 and 2</b>				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CITIGROUP (6TJCK1B7E7UTXP528Y04)	21,0%	15,1%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GIWYY08)	15,8%	18,2%	N/A	N/A	N/A
BNP PARIBAS (R0MUWSFPU8MPRO8K5P83)	13,2%	13,1%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8PU41)	13,1%	12,0%	N/A	N/A	N/A
BOA - MERRILL LYNCH (GGDZP1UYGU9STUHRDP48)	12,3%	13,1%	N/A	N/A	N/A
<b>Top 5 Total</b>	<b>75,4%</b>	<b>71,5%</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

Class of Instrument	<b>(a) Equities: Tick Size Liquidity Band 3 and 4</b>				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CITIGROUP (6TJCK1B7E7UTXP528Y04)	21,1%	11,9%	N/A	N/A	N/A
BOA - MERRILL LYNCH (GGDZP1UYGU9STUHRDP48)	20,9%	22,3%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GIWYY08)	16,7%	19,9%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8PU41)	16,1%	16,8%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE346)	6,4%	2,6%	N/A	N/A	N/A
<b>Top 5 Total</b>	<b>81,2%</b>	<b>73,5%</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

Class of Instrument	(a) Equities: Tick Size Liquidity Band 5 and 6				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BOA - MERRILL LYNCH (GGDZP1UYGU9STUHRDP48)	21,4%	14,7%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GIWYY08)	15,0%	20,2%	N/A	N/A	N/A
CITIGROUP (6TJCK1B7E7UTXP528Y04)	14,0%	10,8%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8PU41)	13,7%	13,9%	N/A	N/A	N/A
BNP PARIBAS (ROMUWSFPU8MPRO8K5P83)	9,7%	13,4%	N/A	N/A	N/A
<b>Top 5 Total</b>	<b>73,8%</b>	<b>73,0%</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

Class of Instrument	(b) Debt Instruments (Bonds)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
TradeWeb EU B.V. (549300MII67ESSYBJH61)	47,2%	44,2%	N/A	N/A	N/A
Bloomberg Trading Facility BV (BTFE)	31,5%	15,9%	N/A	N/A	N/A
MarketAxess NL B.V.(MANL) (5299000UUYW66L5LT560)	9,0%	18,1%	N/A	N/A	N/A
TradeWeb Europe Ltd (2138001WXZQOPMPA3D50)	6,1%	8,6%	N/A	N/A	N/A
JP Morgan Chase (7H6GLXDRUGQFU57RNE97)	0,5%	0,7%	N/A	N/A	N/A
<b>Top 5 Total</b>	<b>94,3%</b>	<b>87,5%</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

Class of Instrument	(b) Debt Instruments (Money markets instruments)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JP MORGAN CHASE (7H6GLXDRUGQFU57 RNE97)	69,1%	17,8%	N/A	N/A	N/A
BOA - MERRILL LYNCH (GGDZP1UYGU9STUH RDP48)	7,4%	11,5%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE3 46)	7,0%	7,3%	N/A	N/A	N/A
TradeWeb EU B.V. (549300MII67ES5YBJ H61)	5,4%	35,2%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GI WYY08)	4,7%	5,4%	N/A	N/A	N/A
<b>Top 5 Total</b>	<b>93,6%</b>	<b>77,2%</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

Class of Instrument	(c) Interest rates derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CREDIT AGRICOLE GROUP (2138007P96W5GXQ CJL28)	14,8%	20,2%	N/A	N/A	N/A
BNP PARIBAS (ROMUWSFPU8MPRO 8K5P83)	13,5%	27,2%	N/A	N/A	N/A
UBS (5299007QVIQ7IO64 NX37)	10,7%	4,6%	N/A	N/A	N/A
Bloomberg Trading Facility BV (BTFE)	9,9%	5,7%	N/A	N/A	N/A
CITIGROUP (6TJCK1B7E7UTXP528 Y04)	8,7%	1,6%	N/A	N/A	N/A
<b>Top 5 Total</b>	<b>57,6%</b>	<b>59,3%</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

Class of Instrument	<b>(d) Credit derivatives</b>				
	N/A				

Class of Instrument	<b>(e) Currency derivatives</b>				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Financial & Risk Transaction Services Ireland Limited - FXall RFQ	91,0%	98,2%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GI WYY08)	2,5%	0,1%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8 PU41)	2,1%	0,1%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE3 46)	1,6%	0,5%	N/A	N/A	N/A
UBS (5299007QVIQ7IO64 NX37)	1,5%	0,0%	N/A	N/A	N/A
<b>Top 5 Total</b>	<b>98,7%</b>	<b>98,9%</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

Class of Instrument	<b>(f) Structured finance instruments</b>				
	N/A				

Class of Instrument	<b>(g) Equity Derivatives</b>				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg Trading Facility BV ( BTFE )	23,9%	2,9%	N/A	N/A	N/A
BARCLAYS (2G5BKIC2CB69PRJH1W31)	10,6%	2,4%	N/A	N/A	N/A
CREDIT AGRICOLE GROUP (2138007P96W5GXQCJL28)	10,1%	12,8%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8PU41)	10,0%	10,4%	N/A	N/A	N/A
BNP PARIBAS (ROMUWSFPU8MPRO8K5P83)	8,2%	6,1%	N/A	N/A	N/A
<b>Top 5 Total</b>	<b>62,8%</b>	<b>34,6%</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

Class of Instrument	<b>(h) Securitized derivatives</b>
	N/A

Class of Instrument	<b>(i) Commodities derivatives and emission allowances Derivatives</b>
	N/A

Class of Instrument	<b>(j) Contracts for difference</b>
	N/A

Class of Instrument	(k) Exchange Traded Products (ETF)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Tradeweb EU B.V. (549300MII67E55YBJ H61)	93,6%	79,1%	N/A	N/A	N/A
UBS (5299007QVIQ7IO64 NX37)	4,8%	11,2%	N/A	N/A	N/A
Societe Generale (O2RNE8IBXP4R0TD8 PU41)	0,7%	6,2%	N/A	N/A	N/A
KEPLER - CHEUVREUX (9695005EOZG9X8IRJ D84)	0,7%	3,4%	N/A	N/A	N/A
CITIGROUP (6TJCK1B7E7UTXP528 Y04)	0,3%	0,2%	N/A	N/A	N/A
<b>Top 5 Total</b>	<b>100,0%</b>	<b>100,0%</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

Class of Instrument	(l) Emission allowances
	N/A

Class of Instrument	(m) Other instruments (Convertibles Bonds)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
TradeWeb EU B.V. (549300MII67E55YBJ H61)	90,6%	91,1%	N/A	N/A	N/A
MIZUHO (213800G8QEXN34A2 YG53)	2,2%	2,4%	N/A	N/A	N/A
JEFFERIES (5493004I3LZM39BW HQ75)	2,2%	1,2%	N/A	N/A	N/A
BARCLAYS (2G5BKIC2CB69PRJH1 W31)	1,7%	1,2%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE3 46)	0,9%	1,2%	N/A	N/A	N/A
<b>Top 5 Total</b>	<b>97,6%</b>	<b>97,1%</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>