

ANHANG 2: Top 5 Brokerübersicht der Amundi Deutschland ohne Amundi Intermediation

Professional Client Types

Class of Instrument	(a) Equities: Tick Size Liquidity Band 1 and 2				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
ICAP Securities Limited (213800NMEZS3MD2IUP33)	49,1%	38,3%	N/A	N/A	N/A
Tradition (969500ULC0Y1IG0A4O72)	49,8%	25,9%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8PU41)	0,9%	31,1%	N/A	N/A	N/A
Steubing (39120043LUI1WJS8IX30)	0,2%	4,5%	N/A	N/A	N/A
Commerzbank (851WYG NLUQLFZBSYGB56)	0,0%	0,2%	N/A	N/A	N/A
Top 5 Total	100,0%	100,0%	N/A	N/A	N/A

Class of Instrument	(a) Equities: Tick Size Liquidity Band 3 and 4
	N/A

Class of Instrument	(a) Equities: Tick Size Liquidity Band 5 and 6
	N/A

Class of Instrument	(b) Debt Instruments (Bonds)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Commerzbank (851WYGNLUQLFZBSY GB56)	82,2%	83,1%	N/A	N/A	N/A
Steubing (39120043LUI1WJS8I X30)	17,8%	16,9%	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
Top 5 Total	100,0%	100,0%	N/A	N/A	N/A

Class of Instrument	(b) Debt Instruments (Money markets instruments)
	N/A

Class of Instrument	(c) Interest rates derivatives
	N/A

Class of Instrument	(d) Credit derivatives
	N/A

Class of Instrument	(e) Currency derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BOA - MERRILL LYNCH (549300FH0WJAPEHTI Q77)	80,7%	60,1%	N/A	N/A	N/A
JP Morgan (549300ZK53CNGEEI6 A29)	9,4%	10,0%	N/A	N/A	N/A
Barclays (2G5BKIC2CB69PRJH1 W31)	8,0%	17,4%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE3 46)	1,4%	2,3%	N/A	N/A	N/A
Commerzbank (851WYG NLUQLFZBSY GB56)	0,3%	7,4%	N/A	N/A	N/A
Top 5 Total	99,8%	97,1%	N/A	N/A	N/A

Class of Instrument	(f) Structured finance instruments
	N/A

Class of Instrument	(g) Equity Derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Barclays (2G5BKIC2CB69PRJH1W31)	64,9%	78,6%	N/A	N/A	N/A
BOA - MERRILL LYNCH (549300FH0WJAPEHTIQ77)	19,2%	0,4%	N/A	N/A	N/A
Steubing (39120043LUI1WJS8IX30)	12,4%	6,0%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE346)	2,6%	0,3%	N/A	N/A	N/A
LBBW (B81CK4ESI35472RHJ606)	0,8%	12,6%	N/A	N/A	N/A
Top 5 Total	99,9%	98,0%	N/A	N/A	N/A

Class of Instrument	(h) Securitized derivatives	
	N/A	

Class of Instrument	(i) Commodities derivatives and emission allowances Derivatives	
	N/A	

Class of Instrument	(j) Contracts for difference	
	N/A	

Class of Instrument	(k) Exchange Traded Products (ETF)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SOCIETE GENERALE (O2RNE8IBXP4R0TD8 PU41)	63,1%	73,2%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE3 46)	18,8%	4,5%	N/A	N/A	N/A
Optiver (7245009KRY SAYB2Q CC29)	18,2%	22,3%	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
Top 5 Total	100,0%	100,0%	N/A	N/A	N/A

Class of Instrument	(l) Emission allowances	
	N/A	

Class of Instrument	(m) Other instruments (Convertibles Bonds)	
	N/A	