

ANHANG: Top 5 Brokerübersicht der Amundi Intermediation für die AMD ausgeführten Orders

Professional Client Types

Class of Instrument	(a) Equities: Tick Size Liquidity Band 1 and 2				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SOCIETE GENERALE (O2RNE8IBXP4R0TD8 PU41)	27,2%	26,6%	N/A	N/A	N/A
BOA - MERRILL LYNCH (GGDZP1UYGU9STUHRDP48)	20,9%	13,4%	N/A	N/A	N/A
BARCLAYS (2G5BKIC2CB69PRJH1 W31)	8,1%	4,6%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GI WYY08)	8,0%	15,1%	N/A	N/A	N/A
UBS (5299007QVIQ7IO64 NX37)	7,1%	4,2%	N/A	N/A	N/A
Top 5 Total	71,3%	63,9%	N/A	N/A	N/A

Class of Instrument	(a) Equities: Tick Size Liquidity Band 3 and 4				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BOA - MERRILL LYNCH (GGDZP1UYGU9STUHRDP48)	19,7%	8,9%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GI WYY08)	16,2%	13,4%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8 PU41)	12,7%	13,9%	N/A	N/A	N/A
BNP PARIBAS (ROMUWSFPU8MPRO 8K5P83)	10,8%	8,6%	N/A	N/A	N/A
CITIGROUP (6TJCK1B7E7UTXP528 Y04)	9,8%	14,0%	N/A	N/A	N/A
Top 5 Total	69,2%	58,8%	N/A	N/A	N/A

Class of Instrument	(a) Equities: Tick Size Liquidity Band 5 and 6				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SOCIETE GENERALE (O2RNE8IBXP4R0TD8PU41)	17,6%	18,6%	N/A	N/A	N/A
BOA - MERRILL LYNCH (GGDZP1UYGU9STUHRDP48)	13,8%	9,6%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE346)	12,2%	8,2%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GIWYY08)	11,8%	18,7%	N/A	N/A	N/A
BNP PARIBAS (ROMUWSFPU8MPRO8K5P83)	8,7%	8,4%	N/A	N/A	N/A
Top 5 Total	64,1%	63,5%	N/A	N/A	N/A

Class of Instrument	(b) Debt Instruments (Bonds)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
TradeWeb Europe Ltd (2138001WXZQOPMPA3D50)	47,5%	51,0%	N/A	N/A	N/A
Bloomberg Trading Facility BV (BTFE)	16,5%	3,6%	N/A	N/A	N/A
TradeWeb EU B.V. (549300MII67ES5YBJH61)	11,0%	8,4%	N/A	N/A	N/A
MarketAxess NL B.V.(MANL) (5299000UUYW66L5LT560)	5,2%	10,2%	N/A	N/A	N/A
BARCLAYS (2G5BKIC2CB69PRJH1W31)	2,0%	2,2%	N/A	N/A	N/A
Top 5 Total	82,2%	75,4%	N/A	N/A	N/A

Class of Instrument	(b) Debt Instruments (Money markets instruments)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
TradeWeb EU B.V. (549300MII67E5YBJ H61)	96,5%	97,3%	N/A	N/A	N/A
TradeWeb Europe Ltd (2138001WXZQOPMP A3D50)	3,5%	2,7%	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
Top 5 Total	100,0%	100,0%	N/A	N/A	N/A

Class of Instrument	(c) Interest rates derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UBS (5299007QVIQ7IO64 NX37)	16,3%	9,7%	N/A	N/A	N/A
BNP PARIBAS (ROMUWSFPU8MPRO 8K5P83)	15,8%	12,9%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE3 46)	12,6%	10,9%	N/A	N/A	N/A
CITIGROUP (6TJCK1B7E7UTXP528 Y04)	12,2%	5,6%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GI WYY08)	9,1%	9,2%	N/A	N/A	N/A
Top 5 Total	66,0%	48,3%	N/A	N/A	N/A

Class of Instrument	(d) Credit derivatives
	N/A

Class of Instrument		(e) Currency derivatives				
Notification if <1 average trade per business day in the previous year	N					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
BOA - MERRILL LYNCH (GGDZP1UYGU9STUH RDP48)	41,8%	11,4%	N/A	N/A	N/A	
MORGAN STANLEY (54930056FHWP7GI WYY08)	21,9%	21,5%	N/A	N/A	N/A	
CREDIT AGRICOLE GROUP (2138007P96W5GXQ CJL28)	8,4%	5,1%	N/A	N/A	N/A	
Goldman Sachs (8IBZUGJ7JPLH368JE3 46)	7,2%	11,4%	N/A	N/A	N/A	
CITIGROUP (6TJCK1B7E7UTXP528 Y04)	6,9%	15,2%	N/A	N/A	N/A	
Top 5 Total	86,2%	64,6%	N/A	N/A	N/A	

Class of Instrument		(f) Structured finance instruments
		N/A

Class of Instrument		(g) Equity Derivatives				
Notification if <1 average trade per business day in the previous year	N					
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
Goldman Sachs (8IBZUGJ7JPLH368JE3 46)	14,3%	5,9%	N/A	N/A	N/A	
SOCIETE GENERALE (O2RNE8IBXP4R0TD8 PU41)	13,4%	15,3%	N/A	N/A	N/A	
CREDIT AGRICOLE GROUP (2138007P96W5GXQ CJL28)	13,0%	28,8%	N/A	N/A	N/A	
JP Morgan Chase (7H6GLXDRUGQFU57 RNE97)	12,6%	11,0%	N/A	N/A	N/A	
MORGAN STANLEY (54930056FHWP7GI WYY08)	11,7%	15,5%	N/A	N/A	N/A	
Top 5 Total	65,0%	76,5%	N/A	N/A	N/A	

Class of Instrument	(h) Securitised derivatives
	N/A

Class of Instrument	(i) Commodities derivatives and emission allowances Derivatives
	N/A

Class of Instrument	(j) Contracts for difference
	N/A

Class of Instrument	(k) Exchange Traded Products (ETF)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Tradeweb EU B.V. (549300MII67ES5YBJ H61)	72,5%	29,6%	N/A	N/A	N/A
TradeWeb Europe Ltd (2138001WXZQOPMP A3D50)	18,1%	51,0%	N/A	N/A	N/A
UBS (5299007QVIQ7IO64 NX37)	5,3%	5,3%	N/A	N/A	N/A
Societe Generale (O2RNE8IBXP4R0TD8 PU41)	2,5%	8,9%	N/A	N/A	N/A
KEPLER - CHEUVREUX (9695005EOZG9X8IRJ D84)	1,5%	4,2%	N/A	N/A	N/A
Top 5 Total	99,9%	99,0%	N/A	N/A	N/A

Class of Instrument	(l) Emission allowances
	N/A

Class of Instrument	(m) Other instruments (Foreign Exchange)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JP Morgan Chase (7H6GLXDRUGQFU57RNE97)	18,4%	5,8%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8PU41)	14,1%	5,5%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GIWYY08)	11,4%	14,7%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE346)	9,0%	16,0%	N/A	N/A	N/A
BOA - MERRILL LYNCH (GGDZP1UYGU9STUHRDP48)	7,4%	11,7%	N/A	N/A	N/A
Top 5 Total	60,3%	53,7%	N/A	N/A	N/A