

ANHANG 1: Top 5 Brokerübersicht der Amundi Intermediation für die AMD ausgeführten Orders

Professional Client Types

Class of Instrument	(a) Equities: Tick Size Liquidity Band 1 and 2				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BOA - MERRILL LYNCH (GGDZP1UYGU9STUH RDP48)	15,4%	8,7%	N/A	N/A	N/A
CITIGROUP (XKZZ2JZF41MRHTR1 V493)	13,1%	11,1%	N/A	N/A	N/A
MORGAN STANLEY (4PQUHN3JPFGFNF3B B653)	11,3%	13,8%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8 PU41)	8,5%	18,6%	N/A	N/A	N/A
EXANE (969500UP76J52A90 XU27)	8,0%	14,9%	N/A	N/A	N/A
Top 5 Total	56,3%	67,1%	N/A	N/A	N/A

Class of Instrument	(a) Equities: Tick Size Liquidity Band 3 and 4				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SOCIETE GENERALE (O2RNE8IBXP4R0TD8 PU41)	25,2%	41,2%	N/A	N/A	N/A
JP Morgan (549300ZK53CNGEEI6 A29)	19,9%	8,0%	N/A	N/A	N/A
BOA - MERRILL LYNCH (GGDZP1UYGU9STUH RDP48)	15,7%	22,3%	N/A	N/A	N/A
CITIGROUP (XKZZ2JZF41MRHTR1 V493)	11,0%	7,5%	N/A	N/A	N/A
BARCLAYS (2G5BKIC2CB69PRJH1 W31)	5,6%	0,4%	N/A	N/A	N/A
Top 5 Total	77,4%	79,4%	N/A	N/A	N/A

Class of Instrument	(a) Equities: Tick Size Liquidity Band 5 and 6				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
BOA - MERRILL LYNCH (GGDZP1UYGU9STUH RDP48)	16,1%	12,2%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8 PU41)	11,9%	22,7%	N/A	N/A	N/A
CITIGROUP (XKZZ2JZF41MRHTR1 V493)	11,8%	11,9%	N/A	N/A	N/A
EXANE (969500UP76J52A90 XU27)	11,4%	11,1%	N/A	N/A	N/A
MORGAN STANLEY (4PQUHN3JPF GFNF3B B653)	11,3%	14,3%	N/A	N/A	N/A
Top 5 Total	62,5%	72,2%	N/A	N/A	N/A

Class of Instrument	(b) Debt Instruments (Bonds)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
TradeWeb EU B.V. (549300MII67ESSYBJ H61)	51,8%	47,5%	N/A	N/A	N/A
Bloomberg Trading Facility BV (BTFE)	31,1%	17,4%	N/A	N/A	N/A
MarketAxess NL B.V. (MANL)	7,4%	19,9%	N/A	N/A	N/A
TradeWeb Europe Ltd (2138001WXZQOPMP A3D50)	3,3%	9,2%	N/A	N/A	N/A
BOA - Merrill Lynch (549300FH0WJAEHT IQ77)	0,8%	0,3%	N/A	N/A	N/A
Top 5 Total	94,4%	94,3%	N/A	N/A	N/A

Class of Instrument	(b) Debt Instruments (Money markets instruments)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JP MORGAN CHASE (549300ZK53CNGEEI6 A29)	39,8%	17,3%	N/A	N/A	N/A
BOA - MERRILL LYNCH (EQYXK86SF381Q21S 3020)	34,1%	11,3%	N/A	N/A	N/A
Tradeweb Europe Limited (2138001WXZQOPMP A3D50)	12,3%	25,9%	N/A	N/A	N/A
TradeWeb EU B.V. (2138001WXZQOPMP A3D50)	8,9%	21,3%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GI WYY08)	1,5%	4,0%	N/A	N/A	N/A
Top 5 Total	96,6%	79,8%	N/A	N/A	N/A

Class of Instrument	(c) Interest rates derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UBS (5299007QVIQ7IO64 NX37)	15,6%	5,7%	N/A	N/A	N/A
CREDIT AGRICOLE GROUP (2138007P96W5GXQ CJL28)	15,1%	16,9%	N/A	N/A	N/A
BNP PARIBAS (DGQCSV2PHVF7I274 3539)	14,9%	21,0%	N/A	N/A	N/A
Morgan Stanley (54930056FHWP7GI WYY08)	9,2%	3,2%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8 PU41)	7,9%	18,3%	N/A	N/A	N/A
Top 5 Total	62,7%	65,1%	N/A	N/A	N/A

Class of Instrument	(d) Credit derivatives				
	N/A				

Class of Instrument	(e) Currency derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Financial & Risk Transaction Services Ireland Limited - FXall RFQ	95,6%	97,8%	N/A	N/A	N/A
CREDIT AGRICOLE GROUP (1VUV7VQFKUOQSJ2 1A208)	1,5%	0,6%	N/A	N/A	N/A
BOA - MERRILL LYNCH (549300FH0WJAPHT IQ77)	1,3%	0,4%	N/A	N/A	N/A
Bloomberg Trading Facility BV (BTFE)	0,6%	0,8%	N/A	N/A	N/A
MORGAN STANLEY (54930056FHWP7GI WYY08)	0,5%	0,2%	N/A	N/A	N/A
Top 5 Total	99,5%	99,8%	N/A	N/A	N/A

Class of Instrument	(f) Structured finance instruments				
	N/A				

Class of Instrument	(g) Equity Derivatives				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bloomberg Trading Facility BV (BTFE)	31,1%	7,4%	N/A	N/A	N/A
Goldman Sachs (8IBZUGJ7JPLH368JE346)	13,6%	2,2%	N/A	N/A	N/A
SOCIETE GENERALE (O2RNE8IBXP4R0TD8PU41)	12,6%	19,1%	N/A	N/A	N/A
BNP Paribas (ROMUWSFPU8MPRO8K5P83)	8,4%	7,2%	N/A	N/A	N/A
CREDIT AGRICOLE GROUP (2138007P96W5GXQ CJL28)	8,3%	13,8%	N/A	N/A	N/A
Top 5 Total	74,0%	49,7%	N/A	N/A	N/A

Class of Instrument	(h) Securitized derivatives
	N/A

Class of Instrument	(i) Commodities derivatives and emission allowances Derivatives
	N/A

Class of Instrument	(j) Contracts for difference
	N/A

Class of Instrument	(k) Exchange Traded Products (ETF)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Tradeweb EU B.V. (549300MII67ES5YBJ H61)	84,8%	65,2%	N/A	N/A	N/A
UBS (5299007QVIQ7IO64 NX37)	12,0%	12,9%	N/A	N/A	N/A
Societe Generale (O2RNE8IBXP4R0TD8 PU41)	2,7%	19,1%	N/A	N/A	N/A
CITIGROUP (XKZZ2JZF41MRHTR1 V493)	0,2%	1,6%	N/A	N/A	N/A
KEPLER - CHEUVREUX (9695005EOZG9X8IRJ D84)	0,2%	1,1%	N/A	N/A	N/A
Top 5 Total	99,9%	99,9%	N/A	N/A	N/A

Class of Instrument	(l) Emission allowances
	N/A

Class of Instrument	(m) Other instruments (Convertibles Bonds)				
Notification if <1 average trade per business day in the previous year	N				
Top five brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
TradeWeb EU B.V. (549300MII67ES5YBJ H61)	85,2%	84,4%	N/A	N/A	N/A
Goldman Sachs (W22LROWP2IHZNBB 6K528)	6,9%	4,4%	N/A	N/A	N/A
BOA - Merrill Lynch (GGDZP1UYGU9STUH RDP48)	3,6%	4,4%	N/A	N/A	N/A
Morgan Stanley (4PQUHN3JPGFNF3B B653)	2,6%	2,2%	N/A	N/A	N/A
Unicredit (2ZCNRR8UK83OBTEK 2170)	1,0%	2,2%	N/A	N/A	N/A
Top 5 Total	99,3%	97,6%	N/A	N/A	N/A